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PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

7

Application Number 10/804,486 (Conf. No. 7628)

Filing Date March 18, 2004

First Named Inventor Danny R. Gaydou

Art Unit 2611

Examiner Name Christopher C. Grant

Attorney Docket Number UV-275

ENCLOSURES (Check all that apply)☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board
of Appeals and Interferences☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☐ Other Enclosure(s) (please identify
below):**Remarks**

The Director is hereby authorized to charge any fee(s) that may be due under 37 CFR 1.16 or 1.17 to Deposit Account Number 06-1075 (Order No. 003597-0275)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Signature

Printed name

Chia-Hao La

Date

January 13, 2006

Reg. No.

57,729

CERTIFICATE OF EXPRESS MAIL (No. EV619622895US)

I hereby certify that this paper/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Hon. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

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Andrew Shive
Andrew Shive

Date

1/13/06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENTS
UV-275

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Danny R. Gaydou et al.
Application No. : 10/804,486 Confirmation No. : 7628
Filed : March 18, 2004
For : SYSTEMS AND METHODS FOR PROVIDING TRANSPORT
CONTROL
Art Unit : 2611
Examiner : Christopher C. Grant

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

New York, New York
January 13, 2006

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants
hereby make the following references of record in the above-
identified patent application:

U.S. Patent Documents

6,744,967	06/01/04	Kaminski et al.
2002/0174430	11/21/02	Ellis et al.

Foreign Patent Documents

WO 00/59214	10/05/00	TiVo, Inc.
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Non-Patent Documents

DIRECTTV Receiver with TiVo, Viewer's Guide (2000).

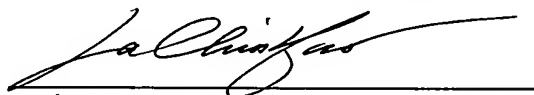
The aforementioned documents are listed on the accompanying Form PTO/SB/08 (submitted in duplicate). Copies of the foreign patent document and the non-patent document are enclosed. Pursuant to 37 C.F.R. § 1.98(a)(2), no copies of the U.S. patent or published U.S. patent application accompany this Information Disclosure Statement.

It is respectfully requested that the above documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

This Information Disclosure Statement is being submitted more than three months from the application filing date but before the mailing date of the first Office Action on the merits. In accordance with 37 C.F.R. § 1.97, submission of this Information Disclosure Statement requires no fee. However, if for any reason a fee is due, the Director is

hereby authorized to charge payment of any fee required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075 (Order No. 002397-0275). A Form PTO/SB/21 Transmittal Form is enclosed herewith for that purpose.

Respectfully submitted,



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PTO/SB/08 (10-01)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if known	
				Application Number	10/108,486 (Conf. No. 7628)
Sheet 1 of 1				Filing Date	March 18, 2004
				First Named Inventor	Danny R. Gaydou
				Art Unit	2611
				Examiner Name	Christopher C. Grant
				Attorney Docket Number	UV-275

U.S. PATENT DOCUMENTS					
Examiner initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		6,744,967	06-01-2004	Kaminski et al.	
		2002/0174430	11-21-2002	Ellis et al.	

FOREIGN PATENT DOCUMENTS						
Examiner initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ¹ - Number ² - Kind Code ³				
		WO 00/59214	10-05-2000	TiVo, Inc.		

NON PATENT LITERATURE DOCUMENTS			
Examiner initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
		DIRECTTV Receiver with TiVo, Viewer's Guide (2000).	

Examiner Signature		Date Considered	
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All References Have Been Considered: _____
Examiner

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.